VERTEX ONE ASSET MANAGEMENT

SUBSCRIPTION AGREEMENT:

1. Select **yes** when asked if you are a Portfolio Manager fully managing the account.

2. **Application Type:** Select **Individual**. If necessary, you will have the option to indicate other account types when entering client information on the bulk order form.

3. **Subscriber Information** & **Subscriber Address Information**: enter the information of the *Portfolio Manager* who is managing the account. You may enter a generic SIN/BIN:

   Personal Tax Identification Number (SIN/SSN) 111 111 111

4. **Document Signing**: Indicate how the *signer* (the *Portfolio Manager*) will sign the document. If you would like to e-sign the document in real time, please select **Securely Online.**

5. Please leave the **Subscriber Contact Information** section blank.

   Cell Phone
   Telephone
   Email Address
   Business Telephone

6. **Dealer Information**: please include the details of the *Portfolio Manager* authorized to manage the account.

7. **Dealer Information**: please enter the cell phone and email address of the individual authorized to complete this agreement (likely, the PM). If you have opted to sign securely online, you will receive your access code (via mobile text) and important document links by email.

1. **Beneficiary Information**: please indicate "**Yes**" when asked if the units are to be registered to the subscriber. You will be asked to indicate otherwise in the client detail section of the bulk order form if necessary.

8. **Subscription Information**: Enter the *aggregate* amount of all client purchases made in the fund. For example, if client A purchased $20,000 VRT901 and client B purchased $25,0000 of VRT901, the aggregate amount entered should read:

   ○ Class B: VRT 902
   ◉ Class F: VRT 901
   ○ None

   Aggregate Subscription Amount($) $45,000 *

9. **Complete Signing**: If the signer (most likely the PM) is present to e-sign and complete the document, select yes and follow the instructions on screen.

VERTEX ONE ASSET MANAGEMENT

BULK ORDER FORM

Please download the Bulk Order form from the Vertex One Website. Be sure to complete the following fields:

- Client Account name
- Account Type
- Personal Tax Identification Number (SIN/BIN)
- Primary Address and phone number
- Fund code & purchase amount

Please email the completed form to admin@vertexone.com within *three business days* after trade date. Please be sure to include the name of the Portfolio Manager listed as the authorized signatory on the subscription agreement.

We must receive *both* the **Subscription Agreement** and **Bulk Order form** in good order for the trade to be accepted in full. The Subscription Agreement will automatically expire after *seven days* if the Bulk Order form has not been received.